



THE UNITED STATES PATENT AND TRADEMARK OFFICE

1636

Re Application of : Arenas, Ernest et al.

Serial No. : 09/980,913

Filing Date : May 21, 2002

Examiner : Gerald G. Leffers Jr.

Group Art Unit : 1636

Entitled : Materials and Methods  
Relating to Neuronal  
Development

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TECH CENTER 1600/2900

Suite 2400  
1601 Market Street  
Philadelphia, PA 19103  
(215) 563-4100 (telephone)  
(215) 563-4044 (facsimile)  
Our File No. 0380-PO2709US0

Certification of Mailing Under 37 CFR §1.8(a)

I hereby certify that this correspondence is being deposited on January 15, 2004 with the United States Postal Service as first class mail in an envelope addressed to COMMISSIONER FOR PATENTS, P.O. Box 1450, Alexandria, VA 22313-1450.

Caren Burgoon  
Caren Burgoon

**SECOND INFORMATION DISCLOSURE STATEMENT**

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants are submitting herewith a Form PTO-1449 and a copy of the references listed thereon. This Information Disclosure Statement is being filed more than three months after the filing date of this application, and after receipt of the first Official Action on the merits, but before receipt of a Final Official Action or a Notice of Allowance. Accordingly, the fee required under 37 C.F.R.

1.97(c) is to be charged to our Deposit Account No. 04-1406. A duplicate copy of the Fee Transmittal is enclosed for such charging.

In the opinion of the undersigned, the references submitted herewith are the most pertinent of which the undersigned is aware. However, no representation is made or intended that more pertinent references do not exist.

This submission is not an admission that the references listed on the attached Form PTO-1449 constitute prior art against the claims of this application.

The Examiner is respectfully requested to confirm receipt and consideration of the cited references by initialing and returning a copy of the attached Form PTO-1449 in accordance with MPEP §609.

Early and favorable consideration of this application is respectfully requested.

DANN DORFMAN HERRELL and  
SKILLMAN, P.C.

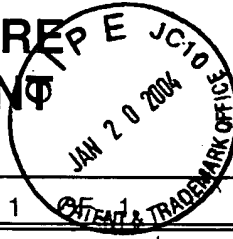
Attorneys for Applicant

By Patrick J. Hagan

Patrick J. Hagan  
Registration No. 27,643

PJH:JEL

# INFORMATION DISCLOSURE STATEMENT



*Complete if known*

Application Number: 09/980,913

Filing Date: May 21, 2002

First Named Inventor: Arenas et al.

Group Art Unit: 1636

Examiner Name: Gerald G. Leffers Jr.

Our File No. 0380 PO 2709US0

SHEET 1 OF 1

## UNITED STATES PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	PATENT NUMBER	ISSUE DATE MM-DD-YYYY	FIRST NAMED INVENTOR
	A1	6,312,949	11-06-2001	Sakurada et al.
	A2	2002/0114788	08-22-2002	Isacson et al.

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## FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	DOCUMENT NUMBER	COUNTRY OR REGION	DATE OF PUBLICATION MM-DD-YYYY	FIRST NAMED INVENTOR OR APPLICANT
	B1	00/058451	WO	10-05-2000	Sakurada et al.
	B2	02/009733	WO	02-07-2002	Isacson et al.

## OTHER PRIOR ART - NON-PATENT DOCUMENTS

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in Capital Letters), title of the article (when appropriate), title of the item(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	

EXAMINER'S SIGNATURE	DATE CONSIDERED
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw a line through citation if citation not in conformance and reference not considered. Include a copy of this form with next communication to applicant.